

## (Week 10) Lecture 19 & 20

**Objectives:** Learning objectives of these lectures are

Students will be able to understand:

- What is Bottom-Up Parser?
- What are types of Bottom-Up Parser?
- SLR(1) Parser Steps
  1. Number the Production
  2. Augment the given grammar (Augmented Grammar)
  3. Find the Follow Set of Non-Terminals in given CFG
  4. Draw the Canonical Collection
  5. Create the Parsing Table
  6. Stack Implementation
  7. Draw Parse Tree

### Text Book & Resources:

Compilers Principles Techniques and Tools (2nd Edition) by Alfred V. Aho, Ravi Sethi.

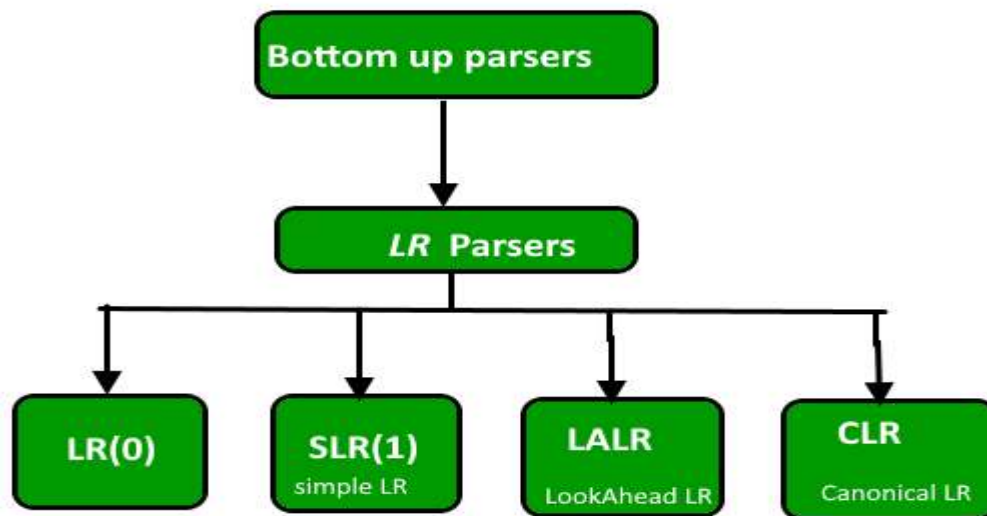
### Videos Link:

- |                                                                         |          |
|-------------------------------------------------------------------------|----------|
| <a href="https://youtu.be/m1mkjiUUm3A">https://youtu.be/m1mkjiUUm3A</a> | (Part 1) |
| <a href="https://youtu.be/48S73DRd8GU">https://youtu.be/48S73DRd8GU</a> | (Part 2) |
| <a href="https://youtu.be/0v0q84nTQdA">https://youtu.be/0v0q84nTQdA</a> | (Part 3) |
| <a href="https://youtu.be/gEZIRmpgX9w">https://youtu.be/gEZIRmpgX9w</a> | (Part 4) |
| <a href="https://youtu.be/MeP_OaGHl6Y">https://youtu.be/MeP_OaGHl6Y</a> | (Part 5) |
| <a href="https://youtu.be/-hyt3uBv6wI">https://youtu.be/-hyt3uBv6wI</a> | (Part 6) |
| <a href="https://youtu.be/Dn95j5rAJxc">https://youtu.be/Dn95j5rAJxc</a> | (Part 7) |
| <a href="https://youtu.be/ATEwm3Kr3do">https://youtu.be/ATEwm3Kr3do</a> | (Part 8) |

## Bottom Up or Shift Reduce Parsers

In the last week, we had discussed the Bottom Up Parsers and also discussed the following types of parsers. From these parsers we had discussed LR(0) Parser and in this week we will discuss the simple LR or SLR(1) Parser.

### Classification (Types) of bottom up parsers



### SLR (1) Parsing

SLR (1) refers to simple LR Parsing. It is same as LR(0) parsing. The only difference is in the parsing table. To construct SLR (1) parsing table, we use canonical collection of LR (0) item. In the SLR (1) parsing, we place the reduce move only in the follow set of left hand side non-terminals.

### SLR Parser

We will first consider SLR(1) where the S stands for simple. SLR(1) parsers use the same LR(0) configuring sets and have the same table structure and parser operation, so everything you've already learned about LR(0) applies here. The difference comes in assigning table actions, where we are going to use one token of lookahead to help arbitrate among the conflicts. If we think back to the kind of conflicts we encountered in LR(0) parsing, it was the reduce actions that cause us grief. A state in an LR(0) parser can have at most one reduce action and cannot have both shift and reduce instructions. Since a reduce is indicated for any completed item, this dictates that each completed item must be in a state by itself. But let's revisit the assumption that if the item is complete, the parser must choose to reduce. Is that always appropriate? If we peeked at the next upcoming token, it may tell us something that invalidates that reduction. If the sequence on top of the stack could be reduced to the non-terminal A, what tokens do we expect to find as the next input? What tokens would tell us that the reduction is not appropriate? Perhaps Follow(A) could be useful here!

The simple improvement that SLR(1) makes on the basic LR(0) parser is to reduce only if the next input token is a member of the follow set of the non-terminal being reduced.

When filling in the table, we don't assume a reduce on all inputs as we did in LR(0), we selectively choose the reduction only when the next input symbols in a member of the follow set. To be more precise, here is the algorithm for SLR(1) table construction (note

all steps are the same as for LR(0) table construction except for 2a).

1. Construct  $F = \{I_0, I_1, \dots, I_n\}$ , the collection of LR(0) configuration sets for  $G'$ .

2. State  $i$  is determined from  $I_i$ . The parsing actions for the state are determined as follows:

- a) If  $A \rightarrow u\bullet$  is in  $I_i$  then set  $Action[i, a]$  to reduce  $A \rightarrow u$  for all  $a$  in  $Follow(A)$  ( $A$  is not  $S'$ ).
- b) If  $S' \rightarrow S\bullet$  is in  $I_i$  then set  $Action[i, \$]$  to accept.
- c) If  $A \rightarrow u\bullet av$  is in  $I_i$  and  $successor(I_i, a) = I_j$ , then set  $Action[i, a]$  to shift  $j$  ( $a$  must be a terminal).

3. The goto transitions for state  $i$  are constructed for all non-terminals  $A$  using the rule: If  $successor(I_i, A) = I_j$ , then  $Goto[i, A] = j$ .

4. All entries not defined by rules 2 and 3 are errors.

5. The initial state is the one constructed from the configuring set containing  $S' \rightarrow \bullet S$ .

In the SLR(1) parser, it is allowable for there to be both shift and reduce items in the same state as well as multiple reduce items. The SLR(1) parser will be able to determine which action to take as long as the follow sets are disjoint.

### SLR(1) Grammars

A grammar is SLR(1) if the following two conditions hold for each configuring set:

1. For any item  $A \rightarrow u\bullet xv$  in the set, with terminal  $x$ , there is no complete item  $B \rightarrow w\bullet$  in that set with  $x$  in  $Follow(B)$ . In the tables, this translates no shift reduce conflict on any state. This means the successor function for  $x$  from that set either shifts to a new state or reduces, but not both.
2. For any two complete items  $A \rightarrow u\bullet$  and  $B \rightarrow v\bullet$  in the set, the follow sets must be disjoint, e.g.  $Follow(A) \cap Follow(B)$  is empty. This translates to no reduce-reduce conflict on any state. If more than one non-terminal could be reduced from this set, it must be possible to uniquely determine which using only one token of look ahead.

All LR(0) grammars are SLR(1) but the reverse is not true, as the two extensions to our expression grammar demonstrated. The addition of just one token of lookahead and use of the follow set greatly expands the class of grammars that can be parsed without conflict.

### SLR(1) Limitations

The SLR(1) technique still leaves something to be desired, because we are not using all the information that we have at our disposal. When we have a completed configuration (i.e., dot at the end) such as  $X \rightarrow u\bullet$ , we know that this corresponds to a situation in which we have  $u$  as a handle on top of the stack which we then can reduce, i.e., replacing  $u$  by  $X$ . We allow such a reduction whenever the next symbol is in  $Follow(X)$ . However, it

may be that we should not reduce for every symbol in Follow(X), because the symbols below u on the stack preclude u being a handle for reduction in this case. In other words, SLR(1) states only tell us about the sequence on top of the stack, not what is below it on the stack. We may need to divide an SLR(1) state into separate states to differentiate the possible means by which that sequence has appeared on the stack. By carrying more information in the state, it will allow us to rule out these invalid reductions.

### SLR(1) Parser Steps

1. Number the Production
2. Augment the given grammar (Augmented Grammar)
3. Find Follow Sets of Non-Terminals
4. Draw the Canonical Collection (DFD)
5. Create the Parsing Table
6. Stack Implementation
7. Draw Parse Tree

### Example # 1

Given CFG

$E \rightarrow E + T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow id$

In this example we will perform all above steps to check whether the given grammar is SLR(1) conflict or not.

#### Step 1: Number the Production

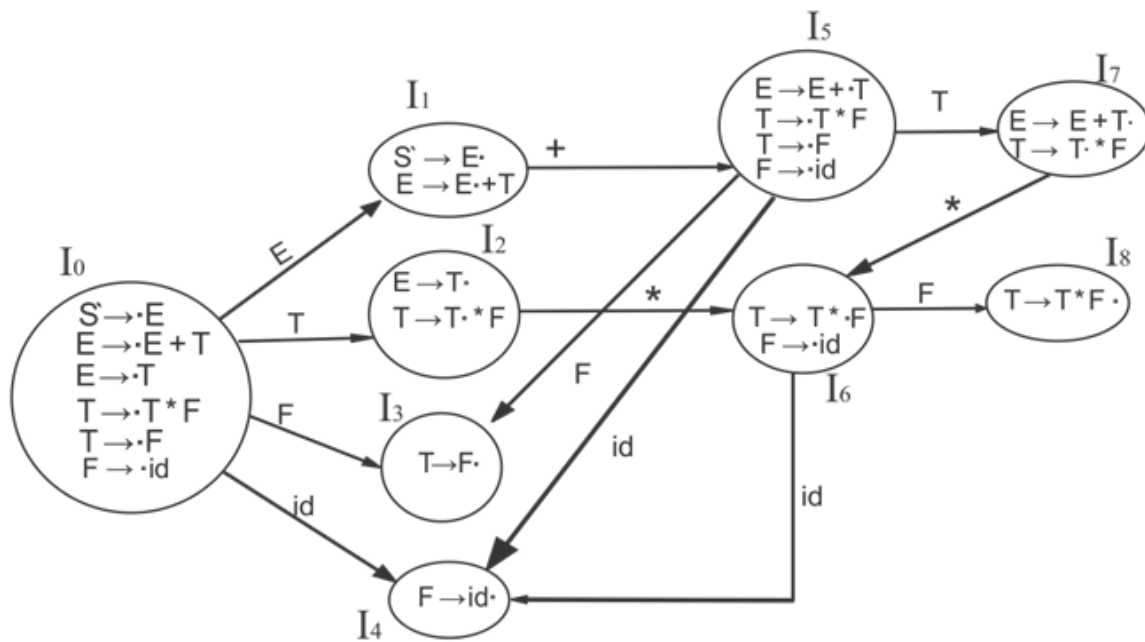
1.  $E \rightarrow E + T$
2.  $E \rightarrow T$
3.  $T \rightarrow T * F$
4.  $T \rightarrow F$
5.  $F \rightarrow id$

#### Step 2: Augmented CFG

$S' \rightarrow E$   
 $E \rightarrow E + T$   
 $E \rightarrow T$   
 $T \rightarrow T * F$   
 $T \rightarrow F$   
 $F \rightarrow id$

**Step 3: Find the Follow Set of Non-Terminals**

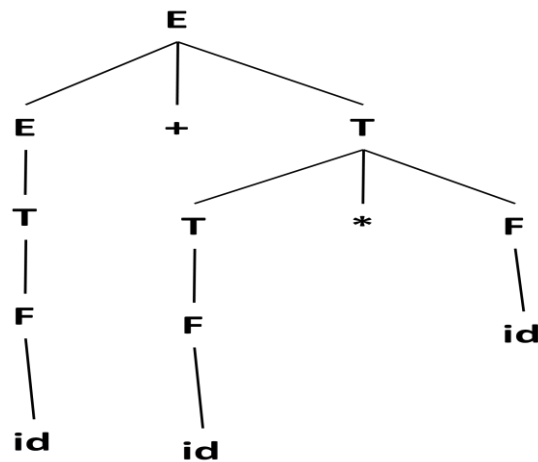
| Non-Terminals | Follow Set     |
|---------------|----------------|
| $S'$          | $\{\$ \}$      |
| $E$           | $\{\$, +\}$    |
| $T$           | $\{\$, +, *\}$ |
| $F$           | $\{\$, +, *\}$ |

**Step 4: Canonical Collection (DFD)****Step 5: Parsing Table** ( R1:  $E \rightarrow E + T$ , R2:  $E \rightarrow T$ , R3:  $T \rightarrow T * F$ , R4:  $T \rightarrow F$ , R5:  $F \rightarrow id$  )

| States         | Action         |                |                |                | Go to |   |   |
|----------------|----------------|----------------|----------------|----------------|-------|---|---|
|                | id             | +              | *              | \$             | E     | T | F |
| I <sub>0</sub> | S <sub>4</sub> |                |                |                | 1     | 2 | 3 |
| I <sub>1</sub> |                | S <sub>5</sub> |                | Accept         |       |   |   |
| I <sub>2</sub> |                | R <sub>2</sub> | S <sub>6</sub> | R <sub>2</sub> |       |   |   |
| I <sub>3</sub> |                | R <sub>4</sub> | R <sub>4</sub> | R <sub>4</sub> |       |   |   |
| I <sub>4</sub> |                | R <sub>5</sub> | R <sub>5</sub> | R <sub>5</sub> |       |   |   |
| I <sub>5</sub> | S <sub>4</sub> |                |                |                |       | 7 | 3 |
| I <sub>6</sub> | S <sub>4</sub> |                |                |                |       |   | 8 |
| I <sub>7</sub> |                | R <sub>1</sub> | S <sub>6</sub> | R <sub>1</sub> |       |   |   |
| I <sub>8</sub> |                | R <sub>3</sub> | R <sub>3</sub> | R <sub>3</sub> |       |   |   |

**Step 6: Stack Implementation (String:  $id+id*id$  )**

| Stack          | Input        | Action                                 |
|----------------|--------------|----------------------------------------|
| \$0            | $id+id*id\$$ | Shift $id \rightarrow S4$              |
| \$0id4         | $+id*id\$$   | Reduction ( $F \rightarrow id$ ) (R5)  |
| \$0F3          | $+id*id\$$   | Reduction ( $T \rightarrow F$ ) (R4)   |
| \$0T2          | $+id*id\$$   | Reduction ( $E \rightarrow T$ ) (R2)   |
| \$0E1          | $+id*id\$$   | Shift $+ \rightarrow S5$               |
| \$0E1+5        | $id*id\$$    | Shift $id \rightarrow S4$              |
| \$0E1+5id4     | $*id\$$      | Reduction ( $F \rightarrow id$ ) (R5)  |
| \$0E1+5F3      | $*id\$$      | Reduction ( $T \rightarrow F$ ) (R4)   |
| \$0E1+5T7      | $*id\$$      | Shift $* \rightarrow S6$               |
| \$0E1+5T7*6    | $id\$$       | Shift $id \rightarrow S4$              |
| \$0E1+5T7*6id4 | $\$$         | Reduction ( $F \rightarrow id$ ) (R5)  |
| \$0E1+5T7*6F8  | $\$$         | Reduction ( $T \rightarrow T*F$ ) (R3) |
| \$0E1+5T7      | $\$$         | Reduction ( $E \rightarrow E+T$ ) (R1) |
| \$0E1          | $\$$         | Accept                                 |

**Step 7: Draw Syntax Tree**

**Example # 2**

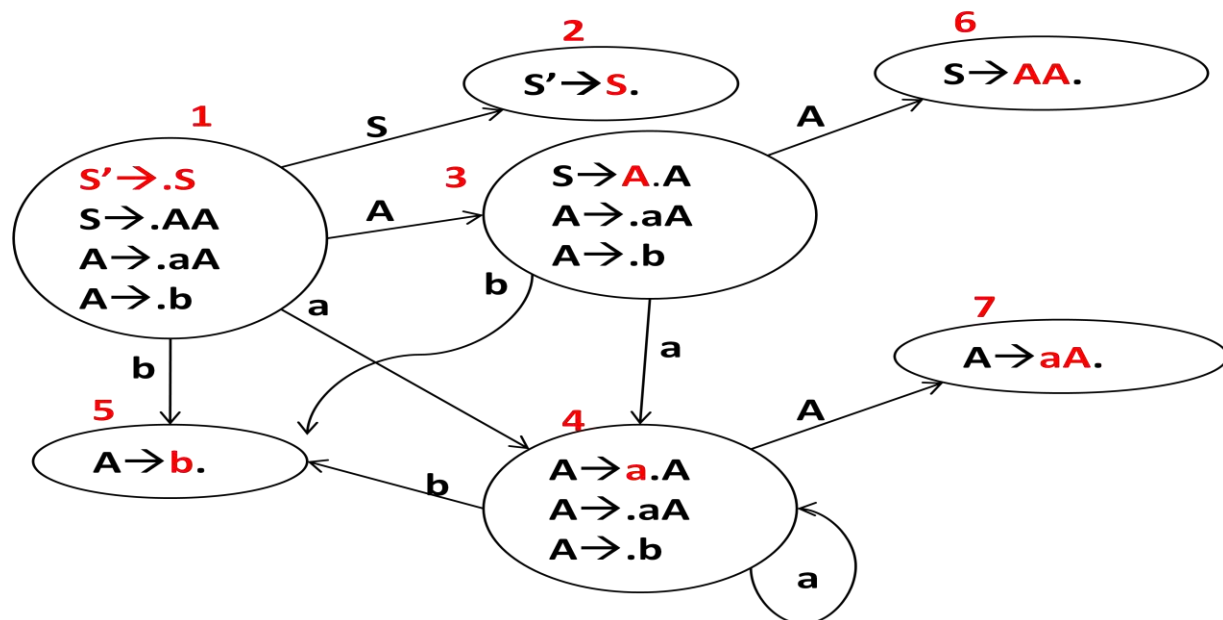
Consider the grammar

 $S \rightarrow AA$  $A \rightarrow aA \mid b$ 

In this example we will perform all above steps to check whether the given grammar is SLR(1) conflict or not.

**Step 1: Number the Production**1.  $S \rightarrow AA$ 2.  $A \rightarrow aA$ 3.  $A \rightarrow b$ **Step 2: Augmented CFG** $S' \rightarrow S$  $S \rightarrow AA$  $A \rightarrow aA$  $A \rightarrow b$ **Step 3: Find the Follow Set of Non-Terminals**

| Non-Terminals | Follow Set     |
|---------------|----------------|
| $S'$          | $\{\$ \}$      |
| $S$           | $\{\$ \}$      |
| $A$           | $\{\$, a, b\}$ |

**Step 4: Canonical Collection**

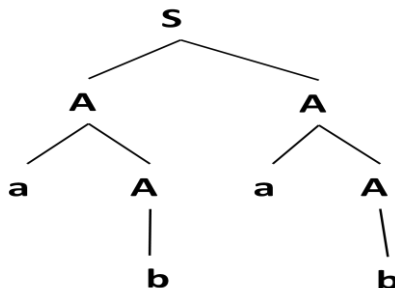
**Step 5: Parsing Table ( R1:  $S \rightarrow AA$  , R2:  $A \rightarrow aA$  , R3:  $A \rightarrow b$  )**

| States | Action Part |    |        | Goto Part |   |
|--------|-------------|----|--------|-----------|---|
|        | a           | b  | \$     | S         | A |
| 1      | S4          | S5 |        | 2         | 3 |
| 2      |             |    | Accept |           |   |
| 3      | S4          | S5 |        |           | 6 |
| 4      | S4          | S5 |        |           | 7 |
| 5      | R3          | R3 | R3     |           |   |
| 6      |             |    | R1     |           |   |
| 7      | R2          | R2 | R2     |           |   |

**Step 6: Stack Implementation (String: abab )**

| Stack     | Input  | Action                                |
|-----------|--------|---------------------------------------|
| \$1       | abab\$ | Shift $a \rightarrow S4$              |
| \$1a4     | bab\$  | Shift $b \rightarrow S5$              |
| \$1a4b5   | ab\$   | Reduction ( $A \rightarrow b$ ) (R3)  |
| \$1a4A7   | ab\$   | Reduction ( $A \rightarrow aA$ ) (R2) |
| \$1A3     | ab\$   | Shift $a \rightarrow S4$              |
| \$1A3a4   | b\$    | Shift $b \rightarrow S5$              |
| \$1A3a4b5 | \$     | Reduction ( $A \rightarrow b$ ) (R3)  |
| \$1A3a4A7 | \$     | Reduction ( $A \rightarrow aA$ ) (R2) |
| \$1A3A6   | \$     | Reduction ( $S \rightarrow AA$ ) (R1) |
| \$1S2     | \$     | Accept                                |

**Step 7: Draw Syntax Tree**





**Example # 3**

In this example we will perform all above steps to check whether the given grammar is SLR(1) conflict or not.

**Given CFG:**

$$E \rightarrow E+(E)$$

$$E \rightarrow id$$
**Given String:**

$$id+(id)$$
**Step 1: Number the Production**

$$1. E \rightarrow E+(E)$$

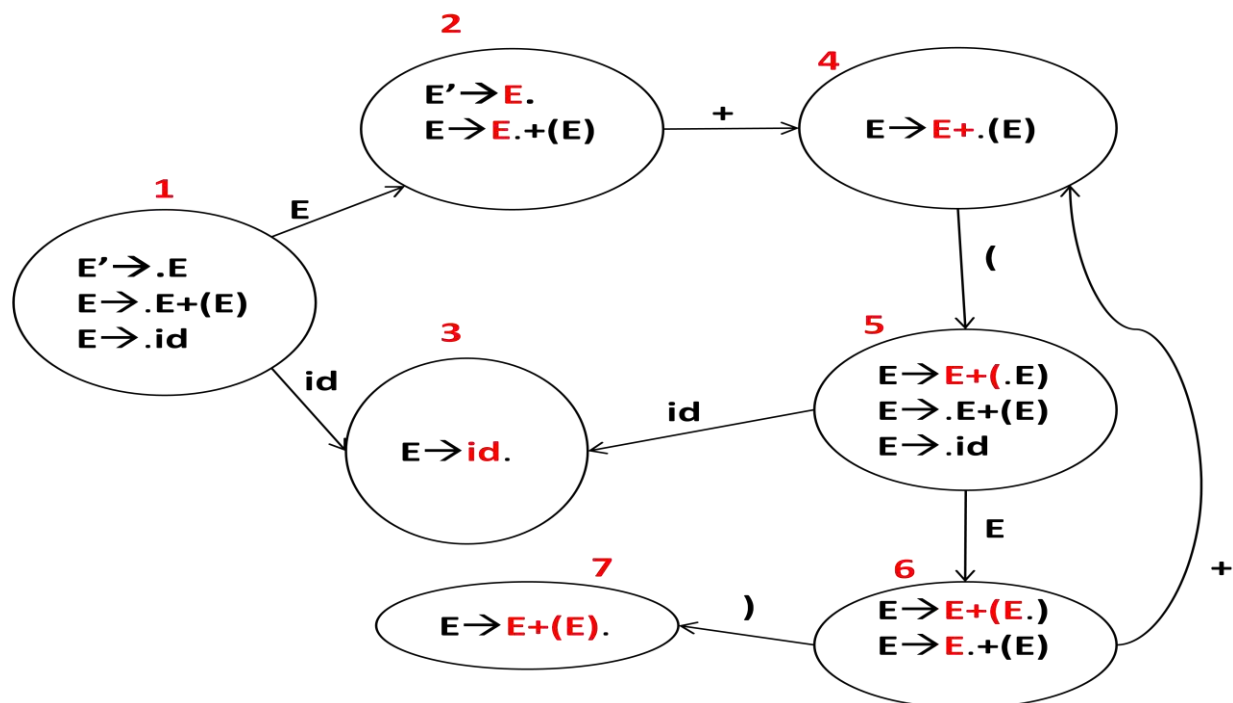
$$2. E \rightarrow id$$
**Step 2: Augmented CFG**

$$E' \rightarrow E$$

$$E \rightarrow E+(E)$$

$$E \rightarrow id$$
**Step 3: Find the Follow Set of Non-Terminals**

| Non-Terminals | Follow Set      |
|---------------|-----------------|
| $E'$          | $\{\$ \}$       |
| $E$           | $\{\$, +, ) \}$ |

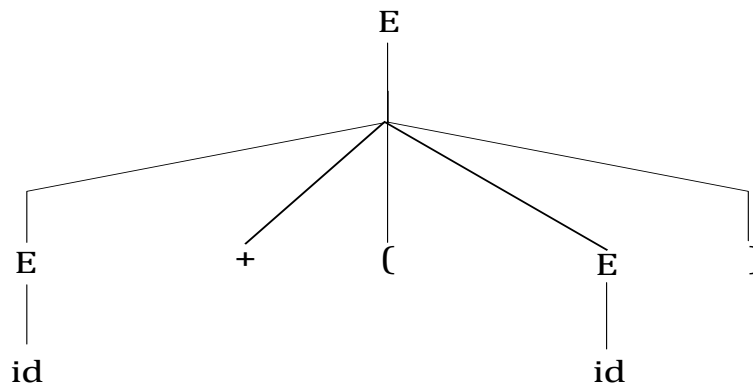
**Step 4: Canonical Collection**

**Step 5: Parsing Table ( R1:  $E \rightarrow E+(E)$  , R2:  $E \rightarrow id$  )**

| States | Action Part |    |    |    |        | Goto Part |
|--------|-------------|----|----|----|--------|-----------|
|        | +           | (  | id | )  | \$     |           |
| 1      |             |    | S3 |    |        | 2         |
| 2      | S4          |    |    |    | Accept |           |
| 3      | R2          |    |    | R2 | R2     |           |
| 4      |             | S5 |    |    |        |           |
| 5      | S4          |    | S3 |    |        | 6         |
| 6      | S4          |    |    | S7 |        |           |
| 7      | R1          |    |    | R1 | R1     |           |

**Step 6: Stack Implementation (String:  $id+(id)$  )**

| Stack         | Input       | Action                                |
|---------------|-------------|---------------------------------------|
| \$1           | $id+(id)\$$ | Shift $id \rightarrow S3$             |
| \$1id3        | $+(id)\$$   | Reduction ( $E \rightarrow id$ ) (R2) |
| \$1E2         | $+(id)\$$   | Shift $+ \rightarrow S4$              |
| \$1E2+4       | $(id)\$$    | Shift $( \rightarrow S5$              |
| \$1E2+4(5     | $id)\$$     | Shift $id \rightarrow S3$             |
| \$1E2+4(5id3  | $)\$$       | Reduction $E \rightarrow id$ (R2)     |
| \$1E2+4(5E6   | $)\$$       | Shift $) \rightarrow S7$              |
| \$1E2+4(5E6)7 | $\$$        | Reduction $E \rightarrow E+(E)$ (R1)  |
| \$1E2         | $\$$        | Accept                                |

**Step 7: Draw Syntax Tree**

Note:  $S \rightarrow .\epsilon = S \rightarrow .$  so there is to need to check next symbol

**Step 5: Parsing Table ( R1:  $S \rightarrow (S)$  , R2:  $S \rightarrow \epsilon$  )**

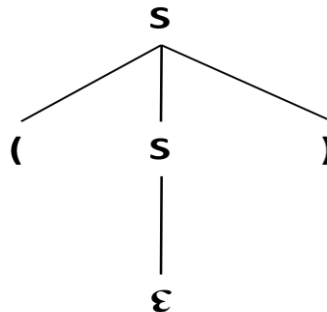
| States | Action Part |    |        | Goto Part |
|--------|-------------|----|--------|-----------|
|        | (           | )  | \$     | S         |
| 1      | S3          | R2 | R2     | 2         |
| 2      |             |    | Accept |           |
| 3      | S3          | R2 | R2     | 4         |
| 4      |             | S5 |        |           |
| 5      |             | R1 | R1     |           |

**Step 6: Stack Implementation (String: ( ) )**

| Stack     | Input | Action                                     |
|-----------|-------|--------------------------------------------|
| \$1       | ( )\$ | Shift ( $\rightarrow S3$ )                 |
| \$1(3     | )\$   | Reduction ( $S \rightarrow \epsilon$ (R2)) |
| \$1(3S4   | )\$   | Shift $) \rightarrow S5$                   |
| \$1(3S4)5 | \$    | Reduction ( $S \rightarrow (S)$ (R1))      |
| \$1S2     | \$    | Accept                                     |

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**Step 7: Draw Syntax Tree/**



**Example # 5**

**Given Grammar**

$S \rightarrow SS+ \mid SS^* \mid a$

Step # 1: Number the Production

1.  $S \rightarrow SS+$
2.  $S \rightarrow SS^*$
3.  $S \rightarrow a$

Step # 2: Augmented Grammar

$S' \rightarrow S$

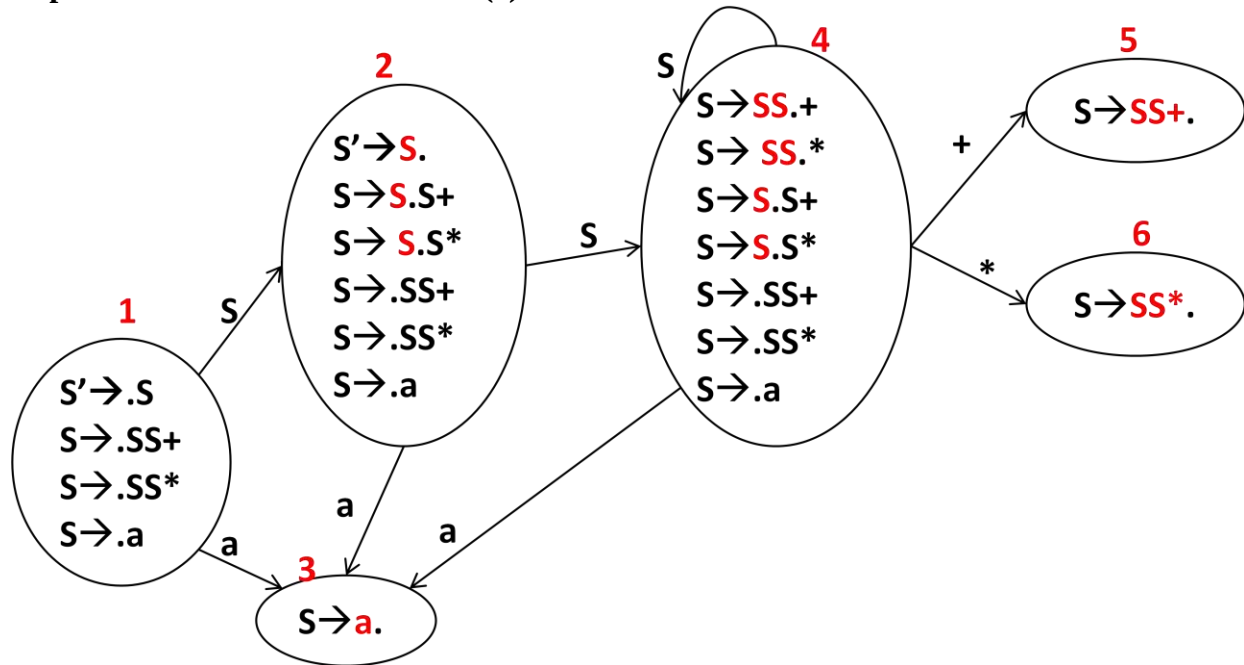
$S \rightarrow SS+$

$S \rightarrow SS^*$

$S \rightarrow a$

**Step 3: Find the Follow Set of Non-Terminals**

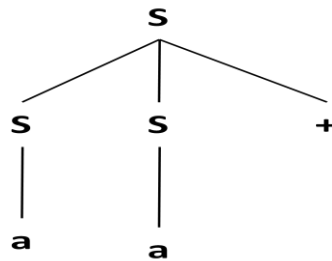
| Non-Terminals | Follow Set         |
|---------------|--------------------|
| $S'$          | $\{\$ \}$          |
| $S$           | $\{\$, a, +, * \}$ |

**Step 4: Canonical Collection of LR(0) Items****Step 5: Parsing Table ( R1:  $S \rightarrow SS+$  , R2:  $S \rightarrow SS^*$  , R3:  $S \rightarrow a$  )**

| States | Action Part |    |    |               | GoTo Part |
|--------|-------------|----|----|---------------|-----------|
|        | +           | *  | a  | \$            |           |
| 1      |             |    | S3 |               | 2         |
| 2      |             |    | S3 | <b>Accept</b> | 4         |
| 3      | R3          | R3 | R3 | R3            |           |
| 4      | S5          | S6 | S3 |               | 4         |
| 5      | R1          | R1 | R1 | R1            |           |
| 6      | R2          | R2 | R2 | R2            |           |

**Step6: Stack Implementation (String: aa+ )**

| Stack     | Input | Action                            |
|-----------|-------|-----------------------------------|
| \$1       | aa+\$ | Shift $a \rightarrow S3$          |
| \$1a3     | a+\$  | Reduction $R3(S \rightarrow a)$   |
| \$1S2     | a+\$  | Shift $a \rightarrow S3$          |
| \$1S2a3   | +\$   | Reduction $R3(S \rightarrow a)$   |
| \$1S2S4   | +\$   | Shift $+ \rightarrow S5$          |
| \$1S2S4+5 | \$    | Reduction $R1(S \rightarrow SS+)$ |
| S1S2      | \$    | Accept                            |

**Step 7: Draw Syntax Tree****Example # 6****Given Grammar** $S \rightarrow (L) \mid a$  $L \rightarrow L,S \mid S$ **Step # 1: Number the Production**

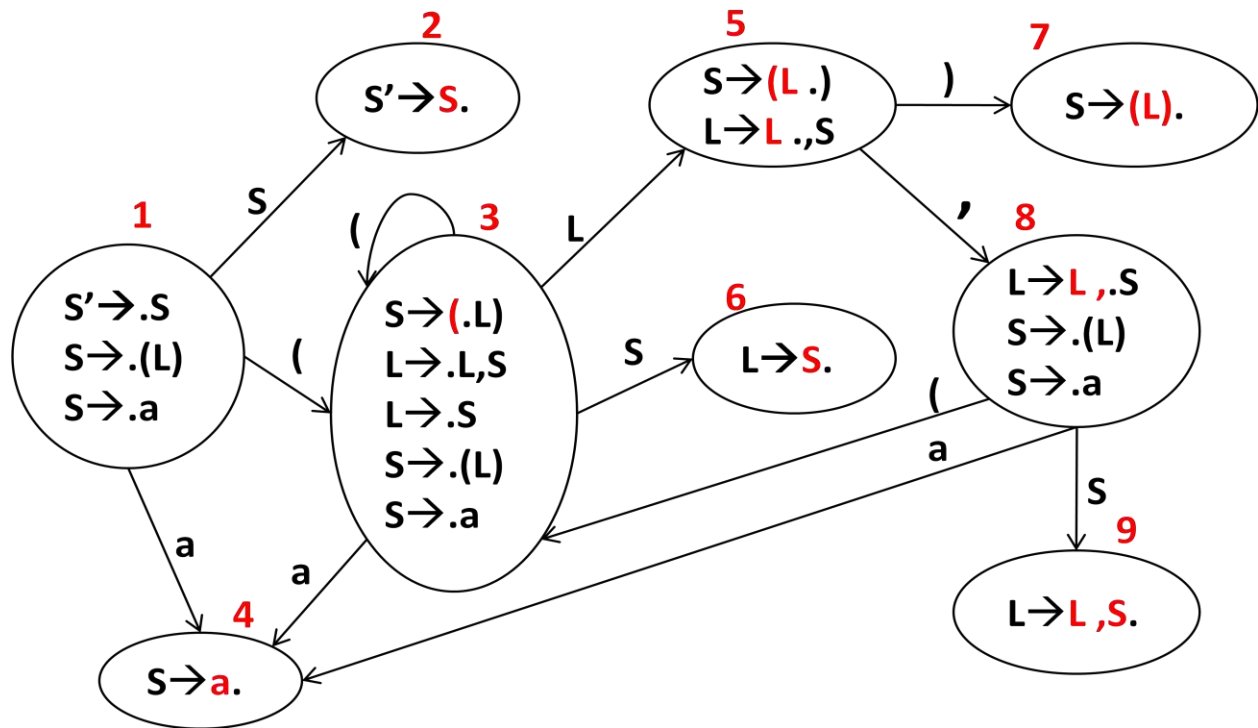
1.  $S \rightarrow (L)$
2.  $S \rightarrow a$
3.  $L \rightarrow L,S$
4.  $L \rightarrow S$

**Step # 2: Augmented Grammar** $S' \rightarrow S$  $S \rightarrow (L)$  $S \rightarrow a$  $L \rightarrow L,S$  $L \rightarrow S$

**Step 3: Find the Follow Set of Non-Terminals**

| Non-Terminals | Follow Set       |
|---------------|------------------|
| $S'$          | $\{\$ \}$        |
| $S$           | $\{ \$, ), , \}$ |
| $L$           | $\{ ), , \}$     |

**Step 4: Canonical Collection**



**Step 5: Parsing Table** ( R1:  $S \rightarrow (L)$  , R2:  $S \rightarrow a$  , R3:  $L \rightarrow L,S$  , R4:  $L \rightarrow S$  )

| States | Terminal Part |    |    |    |        | Goto Part |   |
|--------|---------------|----|----|----|--------|-----------|---|
|        | (             | )  | a  | ,  | \$     | S         | L |
| 1      | S3            |    | S4 |    |        | 2         |   |
| 2      |               |    |    |    | Accept |           |   |
| 3      | S3            |    | S4 |    |        | 6         | 5 |
| 4      |               | R2 |    | R2 | R2     |           |   |
| 5      |               | S7 |    | S8 |        |           |   |
| 6      |               | R4 |    | R4 |        |           |   |
| 7      |               | R1 |    | R1 | R1     |           |   |
| 8      | S3            |    | S4 |    |        | 9         |   |
| 9      |               | R3 |    | R3 |        |           |   |



**Step 6: Stack Implementation ( String: (a,a) )**

| Stack       | Input     | Action                             |
|-------------|-----------|------------------------------------|
| \$1         | (a , a)\$ | Shift ( $\rightarrow S3$ )         |
| \$1(3       | a , a)\$  | Shift $a \rightarrow S4$           |
| \$1(3a4     | ,a)\$     | Reduction $R2(S \rightarrow a)$    |
| \$1(3S6     | ,a)\$     | Reduction $R4(L \rightarrow S)$    |
| \$1(3L5     | ,a)\$     | Shift $, \rightarrow S8$           |
| \$1(3L5,8   | a)\$      | Shift $a \rightarrow S4$           |
| \$1(3L5,8a4 | )\$       | Reduction $R2(S \rightarrow a)$    |
| \$1(3L5,8S9 | )\$       | Reduction $R3(L \rightarrow L, S)$ |
| \$1(3L5     | )\$       | Shift $) \rightarrow S7$           |
| \$1(3L5)7   | \$        | Reduction $R1(S \rightarrow (L))$  |
| \$1S2       | \$        | Accept                             |

**Step 7: Draw Syntax Tree**

